

Updated 21 June 2018

## At Risk for Denial of Accreditation Policy

### **Purpose.**

To allow the JCI accreditation staff to identify specific conditions that, if present in an accredited organization or certified program, could individually or together demonstrate the need for additional JCI surveillance to ensure that the organization's patients are not in jeopardy and compliance with all relevant JCI standards has not been interrupted.

### **Policy.**

*At Risk for Denial for Accreditation* is an administrative classification that results when JCI accreditation program staff determine that one or more of the following seven conditions are present:

1. An immediate threat to patient/public health or staff safety exists within the organization.
2. An individual who does not possess a license, registration, or certification is providing or has provided health care services in the organization that would, under applicable law or regulation, require such a license, registration, or certification and which placed the organization's patients at risk for a serious adverse outcome.
3. JCI accreditation is reasonably persuaded that the organization submitted falsified documents or misrepresented information in seeking to achieve or retain accreditation, as required by the Information Accuracy and Truthfulness Policy.
4. The number of not compliant standards (Not Met or Partially Met) at the time of survey is above the mean (three or more standard deviations) for organizations in the same program surveyed during the previous 24 months.
5. The organization does not possess a license, certificate, and/or permit, as, or when, required by applicable law and regulation, to provide the health care services for which the organization is seeking accreditation.
6. The organization has not met the accreditation policy for "Reporting Requirements Between Surveys".
7. The organization fails to submit an acceptable Strategic Improvement Plan (SIP) within 120 days of the organization's survey.
8. The provision of one or more clinical services has been temporarily suspended due to ongoing reconstruction; construction of new building; a mandate set forth by a governmental or regulatory authority, or the results of a natural disaster or another unforeseen event.

### **Responsibilities.**

1. JCI accreditation may identify the conditions during an on-site survey, the review of a survey report, post-survey follow-up activity, as well as from a media report, a complaint submitted against the organization, or information received from a governmental or regulatory authority.
2. Confirmation of an applicable condition will be confirmed either while on site conducting an

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onsite survey, or as part of a focused survey or upon receipt of verification from a governmental or regulatory authority.

3. Recommendations of JCI accreditation staff will be reviewed by the Accreditation Committee for a decision.
4. JCI accreditation will ensure that the policy is published on the JCI website.

**Procedure.**

1. When one or more of the eight conditions listed above is identified, the accreditation program staff notifies the VP Accreditation, Standards and Measurement to review the situation. Based on the outcome of the review, the JCI President and CEO may be notified depending on the risk(s) identified.
2. A determination will be made within five calendar days by the Vice President, Accreditation, Standards and Measurement as to the next steps, such as asking the organization or the responsible governmental or regulatory authority for clarifying information, scheduling a Follow-up/For-Cause Survey, or other appropriate activity.
3. If during the course of an onsite survey, the JCI surveyors find that the identified condition(s) has been substantiated and not resolved; or if the condition has been substantiated and determined unresolved based on communication(s) with governmental or regulatory authority, Denial of Accreditation will be recommended to the Accreditation Committee. The organization has the right to appeal this decision as described in the JCI policy on “Appeal of Decisions when JCI Accreditation is Denied or Withdrawn.” (See Policy: IACP.0007)